SEP 1 1 2003 W Serial 900.

TRANSMITTAL LETTER

Case No. 6270/109

Serial No. Filing Date Examiner Group Art Unit Unassigned Unassigned Unassigned

Fiventor(s)
Ronald G. Hart

Title of Invention
APPARATUS AND SYSTEM FOR PROTECTION, CONTROL, AND MANAGEMENT OF ELECTRICITY DISTRIBUTION SYSTEMS OVER A NETWORK

TO THE COMMISSIONER FOR PATENTS

| | | | | | | | | | | _ |
|--------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|---------------------------------------|------------------------------|--------|--------------------|-------------------|-------|--------------------|-----------------|
| B9-B13; | Transmitted her Postcard receipt. | | st Supplemental Info | ormation Disclos | sure : | Statement; Fo | <u>rm PTO-144</u> | 9; Co | pies of cited re | <u>ferences</u> |
| | Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted. | | | | | | | | | |
| | Applicant claims | Applicant claims small entity status. See 37 CFR1.27. | | | | | | | | |
| | Petition for a | month | extension of time. | | | | | | | |
| \boxtimes | No additional fe | No additional fee is required. | | | | | | | | |
| | The fee has been calculated as shown below: | | | | | | | | | |
| | | | | | | Small | Entity | | Other Small | |
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| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra | | Rate | Add'I Fee | or | Rate | Add'I Fee |
| Total | | Minus | | | | x \$9= | |] | x \$18= | |
| Indep. | | Minus | <u></u> | | 4 | x 42= | | _ | x \$84= | |
| First Pre | esentation of Multi | ple Dep. Cla | aim | | ╛ | +\$140= | | 4 | + \$280= | |
| | | | | | | Total add'l fee | \$ | | Total add'l fee | \$ |
| | Please charge loopy of this she | | ount No. 23-1925 (ed. | BRINKS HOFE | R GI | LSON & LION | IE) in the an | nount | of \$ A | duplicate |
| | A check in the a | amount of \$ | to cover the | filing fee is enclo | osed. | | | | | |
| \boxtimes | The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed. | | | | | | | | | |
| | I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed. | | | | | | | | | |
| | | | | Respectfull | y sub | omitted, | | _ | | |
| | | | | | 4 | _ | 1 | | #> | |
| | | | | James L. K | atz | | | | | |
| | | | <i>'</i> | Registration Attorney for | n No. | 42,711 | | | | |
| DDINKS | LIOSED OIL COL | LELIONE | | | | 0757 - Brinks | Hofer Gilsor | Lion | е | |
| P.O. BC | HOFER GILSON X 10395 | | | | | | | | | |
| CHICAC (312) 32 | 60, ILLINOIS 606 21-4200 | 10 | | | | | | | | |
| first class r | I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on September 8, 2003. | | | | | | | | | |
| | | | | | | | | | | |

CERTIFICATE OF MAILING

SEP 1 2003 hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

Date of Deposit

James L. Katz

Name of Applicant, Assignee or Registered Representative

Signature

Date of Signature

Our Case No.: 6270/109

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ronald G. Hart Examiner: Unassigned

Serial No.: Unassigned Group Art Unit No.: Unassigned

Filing Date: July 3, 2003

For: APPARATUS AND SYSTEM FOR

PROTECTION, CONTROL, AND MANAGEMENT OF ELECTRICITY DISTRIBUTION SYSTEMS OVER

A NETWORK

FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this First Supplemental Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the

Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

| No. | Date | Name |
|-----------|----------|-----------------|
| 4,717,985 | 01/05/88 | Demeyer |
| 4,910,631 | 03/20/90 | Murphy |
| 5,453,903 | 09/26/95 | Chow |
| 5,754,764 | 0519//98 | Davis et al. |
| 5,805,458 | 09/08/98 | McNamara et al. |
| 5,809,045 | 09/15/98 | Adamiak et al. |
| 6,369,719 | 04/09/02 | Tracy et al. |
| 5,862,391 | 01/19/99 | Salas et al. |

OTHER ART - NON PATENT LITERATURE DOCUMENTS

(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.

Miller et al., "Multichannel Continuous Harmonic Analysis in Real-Time", October 1992, IEEE Transactions on Power Delivery, Volume 7, Issue 4, pages 1813-1819.

Street et al., "Global Positioning System Applications at the Bonneville Power Administration", 10-12 October 1995, IEEE Technical Applications Conference and Workshops Northcon95, pages 244-251.

Martin, K.E., "Precise Timing in Electric Power Systems", 2-4 June 1993, IEEE, Frequency Control Symposium, 1993, 47th pages 15-22.

Cory et al., "Applications of Precise Time in Electrical Transmission and Distribution Systems, 11/11/92, IEEE pages 8/1-8/3.

Pflieger et al., "Improving Power Network State Estimation Using GPS Time Transfer", 23-27 March 1992, IEEE pages 188-193.

In accordance with 37 C.F.R. § 1.97(g),(h), this First Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This First Supplemental Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this First Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is

hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

√ames L. Katz

Registration No. 42,711 Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE P.O. Box 10395

Chicago, IL 60610 (312) 321-4200

| SEP 1 1 MM SW) | | |
|--------------------------------------|------------------------------|----------------|
| FORM PTO-1449 | SERIAL NO. | CASE NO. |
| PRADEM | Unassigned | 6270/109 |
| LIST OF PATENTS AND PUBLICATIONS FOR | FILING DATE | GROUP ART UNIT |
| APPLICANT'S INFORMATION DISCLOSURE | July 3, 2003 | Unassigned |
| STATEMENT | | 1. |
| (use several sheets if necessary) | APPLICANT(S): Ronald G. Hart | |

| REFERENCE | DESIGNATION | U.S. P. |
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| | DOCUMENTS |
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| EXAMINER INITIAL | | DOCUMENT NUMBER Number-Kind Code (If known) | DATE NAME | | CLASS/ SUBCLASS | FILING DATE |
|------------------|----|---------------------------------------------------|-----------|-----------------|--------------------|----------------|
| | B1 | 4,717,985 | 01/05/88 | Demeyer | 361/96 | 02/25/85 |
| | B2 | 4,910,631 | 03/20/90 | Murphy | 361/96 | 01/25/88 |
| | B3 | 5,453,903 | 09/26/95 | Chow | 361/79 | 08/18/93 |
| | B4 | 5,754,764 | 0519//98 | Davis et al. | 709/200 | 02/22/94 |
| | B5 | 5,805,458 | 09/08/98 | McNamara et al. | 702/60 | 03/11/96 |
| | B6 | 5,809,045 | 09/15/98 | Adamiak et al. | 714/799 | 09/13/96 |
| | B7 | 6,369,719 | 04/09/02 | Tracy et al. | 340/870.02 | 11/21/00 |
| | B8 | 5,862,391 | 01/19/99 | Salas et al. | 713/300 | 04/03/96 |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER Number-Kind Code (if known) | DATE | COUNTRY | CLASS/ SUBCLASS | TRANSLATION YES OR NO |
|---------------------|---------------------------------------------|------|---------|--------------------|--------------------------|
| | | | | | |

| EXAMINER INITIAL | | OTHER ART – NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published. | | | | |
|---------------------|-----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|--|--|
| | B9 | Miller et al., "Multichannel Continuous Harmonic Analysis in Real-Time", October 1992, IEEE Transactions on Power Delivery, Volume 7, Issue 4, pages 1813-1819. | | | | |
| | B10 | Street et al., "Global Positioning System Applications at the Bonneville Power Administration", 10-12 October 1995, IEEE Technical Applications Conference and Workshops Northcon95, pages 244-251. | | | | |
| | B11 | Martin, K.E., "Precise Timing in Electric Power Systems", 2-4 June 1993, IEEE, Frequency Control Symposium, 1993, 47 th pages 15-22. | | | | |
| | B12 | Cory et al., "Applications of Precise Time in Electrical Transmission and Distribution Systems, 11/11/92, IEEE pages 8/1-8/3. | | | | |
| | B13 | Pflieger et al., "Improving Power Network State Estimation Using GPS Time Transfer", 23-27 March 1992, IEEE pages 188-193. | | | | |

| EXAMINER | DATE CONSIDERED |
|----------|-----------------|
| | |
| | 1 |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.